

ARCATAUR CAPITAL MANAGEMENT LLC
Client Relationship Summary (Form CRS)
March 1, 2023

Arcataur Capital Management LLC (“we,” “our” or “us”) is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer portfolio management services to retail investors, which include determining the allocation of asset categories within your accounts and determining (and monitoring) the securities to be held in your accounts. We primarily invest client assets in equity and debt securities. Equity securities consist of the stocks of large, well-established companies and broad-based exchange traded funds. Debt securities consist of U.S. treasury bonds, government agency bonds, corporate bonds, certificates of deposit, preferred stocks and municipal bonds. The types of accounts we manage include individual and joint taxable brokerage accounts, traditional IRAs, Roth IRAs, SEP IRAs, Simple IRAs, Individual 401(k)s and trust accounts. Your accounts are managed on a discretionary basis, which means we do not need to get your permission before buying or selling a security in your accounts. Accounts are monitored on an ongoing basis for performance, sector and asset allocation, adherence to our investment policies and strategies and your investment guidelines, objectives and restrictions. We generally prefer accounts with at least \$500,000 in assets if the client instructs us to invest in individual equity securities, but we can provide adequate diversification for accounts with less than \$100,000 in assets using equity exchange traded funds. All client funds and securities managed by us are held at Charles Schwab & Co.

For additional information, please see Form ADV, Part 2A brochure (Items 4, 7 and 8) at [Form ADV, Part 2A Brochure](#) at <https://adviserinfo.sec.gov/firm/summary/124663>.

*Given my financial situation, should I choose an investment advisory service? Why or why not? **

*How will you choose investments to recommend to me? **

*What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean? **

What fees will I pay?

Our investment management fees are the only fees we charge to a client. The specific fee we assign to a client's accounts varies from a maximum of .85% to a minimum of .45% and depends upon the total value of the assets that we manage for a client and types of securities purchased. We calculate management fees monthly and bill them quarterly. The most common other fees and costs to you are prime brokerage fees and the internal management fees and other expenses of exchange traded funds and mutual funds which are disclosed in a fund's prospectus. These fees and costs are in addition to our management fees and we do not receive any portion of them. The more assets there are in your account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see Form ADV, Part 2A brochure (Item 5). [Form ADV, Part 2A Brochure at https://adviserinfo.sec.gov/firm/summary/124663](https://adviserinfo.sec.gov/firm/summary/124663)

*Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? **

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

Since Arcataur employees are permitted to invest in the same securities as clients, there is a possibility that employees might benefit from market activity by a client in a security held by an employee.

For additional information, please see Form ADV, Part 2A brochure (Item 11). [Form ADV, Part 2A Brochure at https://adviserinfo.sec.gov/firm/summary/124663](https://adviserinfo.sec.gov/firm/summary/124663)

*How might your conflicts of interest affect me, and how will you address them? **

How do your financial professionals make money?

Our financial professionals are compensated by salaries, bonuses based on their overall contribution to the success of the firm, and employer matches and profit-sharing contributions to their company retirement accounts.

Do you or your financial professionals have legal or disciplinary history?

No. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

*As a financial professional, do you have any disciplinary history? For what type of conduct? **

Additional information about our investment advisory services can be found on our website at <https://www.arcataur.com/> or by reviewing our Form ADV at <https://reports.adviserinfo.sec.gov/reports/ADV/124663/PDF/124663.pdf>. For up-to-date information or to request a copy of this Form CRS, please call us at 414-225-8200.

*Who is my primary contact person? Is he or she a representative of an investment-advisor or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me? **